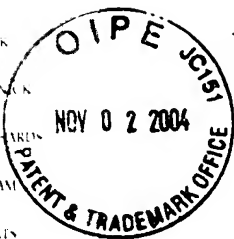


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EXPRESS MAIL LABEL NO.: EV 485808247 US PATENT APPLICATION
 Docket No.: 15436.12.2.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Mail Stop AMENDMENT
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450



Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- ☒ Form PTO-1449 list of 9 references submitted for consideration.
- ☒ Legible copies of the listed references or their relevant portions.
- ☐ All English translations of each non-English reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

- ☐ Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.
- ☐ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.

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 OF COUNSEL

* Admitted only in California
 † Admitted only in New York
 § Admitted only in Virginia

___ Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

___ Legible Copies of previously filed Information Disclosure Statement for application Serial No. _____, filed _____.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

___ Promptness Certification.

X Credit Card Payment Form the amount of \$180.00 constituting submission fee under 37 C.F.R. 1.17(p);

___ Petition for Consideration and Check No. _____ in the amount of \$_____.

X In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 29th day of October, 2004.

Respectfully submitted,



Eric L. Maschoff
Attorney for Applicant
Registration No. 36,596
Customer No. 022913

A circular black and white stamp. The outer ring contains the text "OIPE JC151" at the top and "PATENT & TRADEMARK OFFICE" at the bottom. In the center, the date "NOV 02 2004" is stamped.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Togami, et al.

) Art Unit
) 2833

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Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

Submission Fee
Under 37 C.F.R. § 1.97(c)

In accordance with 37 C.F.R. § 1.97(c), a Credit Card Payment Form for the amount of \$180.00 is enclosed to secure consideration of the references submitted with this Information Disclosure Statement. Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 29th day of October, 2004.

Respectfully submitted,



Eric L. Maschoff
Attorney for Applicant
Registration No. 36,596
Customer No. 022913

EXPRESS MAIL LABEL NO.: EV 485808247 US

Form PTO-1449

Sheet 1 of 7

Applicant: Togami, et al.

Serial No.: 10/776,430

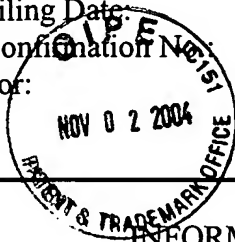
Att'y Docket No.: 15436.12.2.1

Filing Date: February 11, 2004

Group: 2833

Confirmation No.: 2880

For: ELECTRONIC MODULES HAVING INTEGRATED LEVER-
ACTIVATED LATCHING MECHANISMS



INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
___ 1	4,083,619	Apr. 11, 1978	McCormick et al.
___ 2	4,387,956	Jun. 14, 1983	Cline
___ 3	4,678,264	Jul. 7, 1987	Bowen et al.
___ 4	4,798,430	Jan. 17, 1989	Johnson et al.
___ 5	4,993,803	Feb. 19, 1991	Suverison et al.
___ 6	5,039,194	Aug. 13, 1991	Block et al.
___ 7	5,069,522	Dec. 3, 1991	Block et al
___ 8	5,071,219	Dec 10, 1991	Yurtin et al.
___ 9	5,195,897	Mar. 23, 1993	Kent et al.
___ 10	5,202,943	Apr. 13, 1993	Carden et al.
___ 11	5,256,080	Oct. 26, 1993	Bright
___ 12	5,329,428	Jul. 12, 1994	Block et al.

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 485808247 US

Form PTO-1449

Sheet 2 of 7

Applicant: Togami, et al.

Serial No.: 10/776,430

Att'y Docket No.: 15436.12.2.1

Filing Date: February 11, 2004

Group: 2833

Confirmation No.: 2880

For: ELECTRONIC MODULES HAVING INTEGRATED LEVER-
ACTIVATED LATCHING MECHANISMS

_____ 13	5,416,871	May 16, 1995	Takahashi et al.
_____ 14	5,487,678	Jan. 30, 1996	Tsuji et al.
_____ 15	5,528,408	Jun. 18, 1996	McGinley et al.
_____ 16	5,546,281	Aug. 13, 1996	Poplawski et al.
_____ 17	5,561,727	Oct. 1, 1996	Akita et al.
_____ 18	5,596,665	Jan. 21, 1997	Kurashima et al.
_____ 19	5,734,558	Mar. 31, 1998	Poplawski et al.
_____ 20	5,738,538	Apr. 14, 1998	Bruch et al.
_____ 21	5,767,999	Jun. 16, 1998	Kayner
_____ 22	5,820,398	Oct. 13, 1998	Stabroth et al.
_____ 23	5,879,173	Mar. 9, 1999	Poplawski et al.
_____ 24	5,901,263	May 4, 1999	Gaio et al.
_____ 25	5,931,290	Aug. 3, 1999	Wehrli, III et al.
_____ 26	5,947,435	Sep. 7, 1999	Small
_____ 27	5,980,324	Nov. 9, 1999	Berg et al.
_____ 28	6,050,658	Apr. 18, 2000	O'Sullivan et al.
_____ 29	6,069,991	May 30, 2000	Hibbs-Brenner et al.

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 485808247 US

Form PTO-1449

Sheet 3 of 7

Applicant: Togami, et al.

Serial No.: 10/776,430

Att'y Docket No.: 15436.12.2.1

Filing Date: February 11, 2004

Group: 2833

Confirmation No.: 2880

For: ELECTRONIC MODULES HAVING INTEGRATED LEVER-
ACTIVATED LATCHING MECHANISMS

_____ 30	6,074,228	Jun. 13, 2000	Berg et al.
_____ 31	6,081,431	Jun. 27, 2000	Lemke
_____ 32	6,088,498	Jul. 11, 2000	Hibbs-Brenner et al.
_____ 33	6,142,802	Nov. 7, 2000	Berg et al.
_____ 34	6,142,828	Nov. 7, 2000	Pepe
_____ 35	6,149,465	Nov. 21, 2000	Berg et al
_____ 36	6,169,295	Jan. 2, 2001	Koo
_____ 37	6,229,708	May 8, 2001	Corbin, Jr. et al.
_____ 38	6,231,145	May 15, 2001	Liu
_____ 39	6,259,769	Jul. 10, 2001	Page et al.
_____ 40	6,430,053	Aug. 6, 2002	Peterson et al.
_____ 41	6,434,015	Aug 13, 2002	Hwang
_____ 42	6,439,918	Aug. 27, 2002	Togami et al.
_____ 43	US2002/0142649	Oct. 3, 2002	Baugh et al.
_____ 44	US2002/0150343	Oct. 17, 2002	Chiu et al.
_____ 45	US2002/0150353	Oct. 17, 2002	Chiu et al.
_____ 46	6,494,623	Dec. 17, 2002	Ahrens et al.

Examiner:

Date Considered:

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 485808247 US

Form PTO-1449

Sheet 4 of 7

Applicant: Togami, et al.

Serial No.: 10/776,430

Att'y Docket No.: 15436.12.2.1

Filing Date: February 11, 2004

Group: 2833

Confirmation No.: 2880

For: ELECTRONIC MODULES HAVING INTEGRATED LEVER-
ACTIVATED LATCHING MECHANISMS

___ 47	US2003/0020986	Jan. 30, 2003	Pang et al.
___ 48	6,517,382	Feb. 11, 2003	Flickinger et al.
___ 49	6,524,134	Feb. 25, 2003	Flickinger et al.
___ 50	US2003/0044129	Mar. 6, 2003	Ahrens et al.
___ 51	6,532,155	Mar. 11, 2003	Green et al.
___ 52	6,533,603	Mar. 18, 2003	Togami
___ 53	6,538,882	Mar. 25, 2003	Branch et al.
___ 54	US2003/0059167	Mar. 27, 2003	Chiu et al.
___ 55	6,544,055	Apr. 8, 2003	Branch et al
___ 56	6,554,622	Apr. 29, 2003	Engel et al.
___ 57	6,556,445	Apr. 29, 2003	Medina
___ 58	6,570,768	May 27, 3003	Medina
___ 59	US2003/0100204	May 29, 2003	Hwang

Foreign Patent Documents

<u>Examiner</u> <u>Initial*</u>	<u>Document</u> <u>Number</u>	<u>Publication</u> <u>Date</u>	<u>Country or</u> <u>Patent Office</u>
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EXPRESS MAIL LABEL NO.: EV 485808247 US

Form PTO-1449

Sheet 5 of 7

Applicant: Togami, et al.

Serial No.: 10/776,430

Att'y Docket No.: 15436.12.2.1

Filing Date: February 11, 2004

Group: 2833

Confirmation No.: 2880

For: ELECTRONIC MODULES HAVING INTEGRATED LEVER-
ACTIVATED LATCHING MECHANISMS

_____ 60	EP0442608	Jan. 15, 2001	Yingst.
_____ 61	EP0456298	Nov. 13, 1991	Harding
_____ 62	JP4165312	Jun. 11, 1992	Yuzo
_____ 63	GB2297007	Jul. 7, 1996	Poplawski et al.
_____ 64	DE20005316	Sep. 7, 2000	Siemens

Other Documents

(including author, title, pertinent pages, etc.)

Examiner

Initial*

_____ 65	Article Titled "Fiber Optic Module Interface Attachment," October 1991, C. Baldwin, Published by Kenneth Mason Publication Ltd, England.
_____ 66	Article Titled "Field Replaceable Optical Link Card," February 1994, IBM, pgs 47-49.
_____ 67	Article Titled "Small Form Factor Pluggable (SFP) Transceiver Multisource Agreement (MSA)," Agilent Technologies et al., September 14, 2000, pgs 1-38.
_____ 68	Article Titled "CD Laser Optical Data Links for Workstations and Midrange Computers," Ronald L. Soderstrom et al., IBM Business Systems, 5pgs.

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 485808247 US

Form PTO-1449

Sheet 6 of 7

Applicant: Togami, et al.

Serial No.: 10/776,430

Att'y Docket No.: 15436.12.2.1

Filing Date: February 11, 2004

Group: 2833

Confirmation No.: 2880

For: ELECTRONIC MODULES HAVING INTEGRATED LEVER-
ACTIVATED LATCHING MECHANISMS

-
- _____ 69 Article Titled "Optical Link Card Guide/Retention System," Block, et al.,
Research Disclosure, Kenneth Mason Publications Ltd., April, 1993, no. 348.
- _____ 70 Article Titled "Package for Electrical and Optical Components of
Communications Port of Data Processing System," Jarvela, IBM Technical
Disclosure Bulletin, January, 1985, vol. 27, no. 8.
- _____ 71 Article Titled "XFP (10 Gigabit Small Form Factor Pluggable Module)," Ghiasi,
et al., XFP MSA, 2002.

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 485808247 US

Form PTO-1449

Sheet 7 of 7

Applicant: Togami, et al.

Serial No.: 10/776,430

Att'y Docket No.: 15436.12.2.1

Filing Date: February 11, 2004

Group: 2833

Confirmation No.: 2880

For: ELECTRONIC MODULES HAVING INTEGRATED LEVER-
ACTIVATED LATCHING MECHANISMS

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

TAT0000000314V001

Examiner:

Date Considered:

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

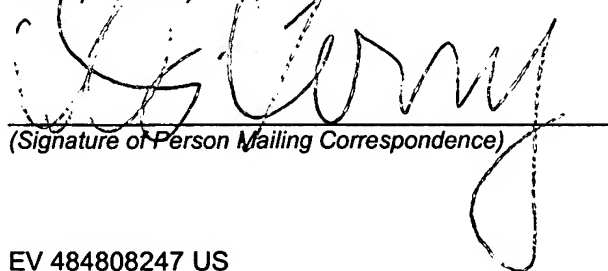
Applicant(s): Togami, et al

Docket No.
15436.12.2.1Serial No.
10/776,430Filing Date
February 11, 2004Confirmation No.
2880Examiner
UnknownGroup Art Unit
2833

Invention: ELECTRONIC MODULES HAVING INEGRATED LEVER-ACTIVATED LATCHING MECHANISMS

I hereby certify that the Transmittal letter (2 pages) (in triplicate); Information Disclosure Statement (2 pgs); Form PTO-1449 Listing all Cited References (6 pgs); Legible Copies of 71 Cited References; Credit Card Payment Form; and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 2, 2004.

Tobi A. Terry

(Typed or Printed Name of Person Mailing
Correspondence)

(Signature of Person Mailing Correspondence)

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